

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under
37 C.F.R. 1.53(b))*

Attorney Docket No.

2316.2003-00

First Named Inventor or
Application Identifier

Walter Günzburg

Express Mail Label No.

EL551543906US

Title of
Invention

Reconstituting Retroviral Vector (ReCon Vector) for Targeted Gene Expression

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- | | |
|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages [26]]
<i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to microfiche Appendix - Background of the Invention - Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [1]]
[<input checked="" type="checkbox"/>] Formal [<input type="checkbox"/>] Informal</p> <p>4. [<input type="checkbox"/>] Oath or Declaration/POA [Total Pages []]</p> <ul style="list-style-type: none"> a. [<input type="checkbox"/>] Newly executed (original or copy) b. [<input type="checkbox"/>] Copy from a prior application (37 C.F.R. 1.63(d))
<i>(for continuation/divisional with Box 17 completed)</i>
[NOTE Box 5 below] <ul style="list-style-type: none"> i. [<input type="checkbox"/>] <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b). <p>5. [<input type="checkbox"/>] Incorporation By Reference <i>(useable if Box 4b is checked)</i>
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.</p> | <p>6. [<input type="checkbox"/>] Microfiche Computer Program <i>(Appendix)</i></p> <p>7. [<input type="checkbox"/>] Nucleotide and/or Amino Acid Sequence Submission
<i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> a. [<input type="checkbox"/>] Computer Readable Copy b. [<input type="checkbox"/>] Paper Copy (identical to computer copy)
[] Pages c. [<input type="checkbox"/>] Statement verifying identity of above copies |
|---|---|

ACCOMPANYING APPLICATION PARTS

8. [☐] Assignment Papers (cover sheet & documents)
9. [☐] 37 C.F.R. 3.73(b) Statement [☐] Power of Attorney
(when there is an assignee)
10. [☐] English Translation Document *(if applicable)*
11. [☐] Information Disclosure Statement (IDS)/PTO-1449 [☐] Copies of IDS
Citations
12. [☐] Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. [☐] Small Entity Statement(s) [☐] Statement filed in prior application,
status still proper and desired
15. [☐] Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. [☐] Other: _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

[☒] Continuation [☐] Divisional [☐] Continuation-in-part (CIP) of prior application No.: PCT/EP99/00002

Prior application information: Examiner: Group Art Unit:

18. CORRESPONDENCE ADDRESS

NAME	Anne J. Collins				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	Two Militia Drive				
CITY	Lexington	STATE	MA	ZIP CODE	02421-4799
COUNTRY	USA	TELEPHONE	(781) 861-6240	FAX	(781) 861-9540

Signature	<i>Anne J. Collins</i>	Date	<i>July 5, 2000</i>
Submitted by Typed or Printed Name	Anne J. Collins	Reg. Number	40,564

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	2316.2003-000
Application Number	
First Named Inventor	Walter Günzburg

jcs13 U.S. PTO
 09/610215
 07/05/00

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	21 - 20* =	1	x \$ 18 =	\$ 18
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1 - 3** =	0	x \$ 78 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 260 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 690
				Total of above Calculations =	\$ 708
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 708
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
				TOTAL =	\$ 708

* Reissue claims in excess of 20 and over original patent
 ** Reissue independent claims over original patent

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$708.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature	<i>Anne J. Collins</i>	Date	<i>July 5, 2000</i>
Submitted by Typed or Printed Name	Anne J. Collins	Reg. Number	40,564

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS		Attorney Docket Number		2316.2003-000	
		Application Number			
		First Named Inventor		Walter Günzburg	
CLAIM CALCULATION (includes any preliminary amendment)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS <small>(37 CFR 1.16(c) or (j))</small>	21 - 20* =	1	x \$ 18 =	\$ 18
	INDEPENDENT CLAIMS <small>(37 CFR 1.16(b) or (i))</small>	1 - 3** =	0	x \$ 78 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 260 =	\$
				BASIC FEE <small>(37 CFR 1.16(a) or (h))</small>	\$ 690
				Total of above Calculations =	\$ 708
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 708
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = <small>(only when filed with application)</small>				\$
			TOTAL =	\$ 708	
<p>1. Small entity status:</p> <p>a. <input type="checkbox"/> A small entity statement is enclosed.</p> <p>b. <input type="checkbox"/> A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.</p> <p>c. <input type="checkbox"/> Is no longer claimed.</p> <p>2. <input checked="" type="checkbox"/> A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.</p> <p>3. <input checked="" type="checkbox"/> A check is enclosed for \$708.00. <input type="checkbox"/> Please charge \$[] to Deposit Account No. 08-0380.</p> <p>4. <input type="checkbox"/> Other: _____</p>					
Signature		<i>Anne J. Collins</i>		Date	
Submitted by Typed or Printed Name		Anne J. Collins		Reg. Number	
				<i>July 5, 2000</i>	
				40,564	

3613 U.S. PTO
 09/610215

